

TM

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 11

Application Number	10/589,510
Filing Date	August 15, 2006
First Named Inventor	Kouki SAITO
Art Unit	
Examiner Name	
Attorney Docket Number	NIWA

### ENCLOSURES (Check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
|---|--|--|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name James C. Wray

Signature

Printed name James C. Wray

Date 11/22/2006

Reg. No. 22,693

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Julie H. Gamotis

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Julie H. Gamotis

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11/22/2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

SAITO, Kouki

Serial No. 10/589,510

Filed: August 15, 2006

For: ROTATING RETRACTABLE WRITING INSTRUMENT



Art Unit:

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

To the Director of the Patent and Trademark Office

Sir:

Under the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, applicant submits herewith copies of publications that the Patent Office may wish to consider in examination of the subject application. The publications are listed on the attached Form PTO 1449 (modified).

Respectfully,

A handwritten signature in cursive script, appearing to read "J. Wray".

James C. Wray, Reg. No. 22,693  
Robert W. Gibson, Reg. No. 57,145  
1493 Chain Bridge Road  
Suite 300  
McLean, Virginia 22101  
Tel: (703) 442-4800  
Fax: (703) 448-7397

November 22, 2006

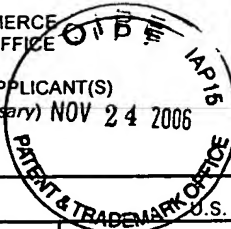
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: November 13, 2006

ATTY DOCKET NO.  
NIWASERIAL NO.  
10/589,510APPLICANT  
SAITO, KoukiFILING DATE  
August 15, 2006

GROUP



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AG	JP 7/32133	7/26/1995	Japan				X
	AH	JP 45/24978	9/30/1970	Japan				X
	AI	JP 63/87072	6/7/1988	Japan				X
	AJ							
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED